

FORM PTO-1449 (Modified [6-1])

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT(S)' INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
22645-7201SERIAL NO.  
New Application

INVENTOR: James M. Kates

FILING DATE: Herewith

GROUP ART UNIT:  
Unknown1c971 U.S. PTO  
10/087090

03/01/02

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
SN	A1	4,689,818	08/25/87	Ammitzboll	381	68	04/28/83
	A2	4,731,850	03/15/88	Levitt, et al.	381	68.2	06/26/86
	A3	5,016,280	05/14/91	Engbretson, et al.	381	68	03/23/88
	A4	5,019,952	05/28/91	Smolenski, et al.	363	16	11/20/89
	A5	5,091,952	02/25/92	Williamson, et al.	381	68.2	11/10/88
	A6	5,259,033	11/02/93	Goodings, et al.	381	68	07/09/92
	A7	5,402,496	03/28/95	Soli, et al.	381	94	07/13/92
	A8	5,940,519	08/17/99	Kuo	381	71.11	12/17/97
	A9	6,072,884	06/06/00	Kates	381	318	11/18/97
	A10	6,104,822	08/15/00	Melanson, et al.	381	320	08/06/97
SN	A11	6,236,731	05/22/01	Brennan, et al.	381	316	04/16/98

## FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N
							yes no
	B1						
	B2						

## OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

SN	C1	A. Harma, et al. "Frequency-Warped Signal Processing for Audio Applications", J. Audio Eng. Soc., Vol. 48, No. 11, November, 2000, pp. 1011-1031
	C2	
	C3	
	C4	
	C5	
	C6	

## OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C7	
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

ATTY. DOCKET NO.  
22645-7201

SERIAL NO. 10/087,090



**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT(S)' INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

INVENTOR: James M. Kates

FILING DATE: 03/01/02

GROUP ART UNIT: 2643

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
SN	A1	5,771,299	06/23/98	Melanson	381	68.2	06/20/96
	A2	5,448,010	09/05/95	Smith, III	84	622	03/22/93
	A3						

**FOREIGN PATENT DOCUMENTS**

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
							yes	no
	B1							
	B2							
	B3							
	B4							
	B5							

**OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)****RECEIVED**

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).